

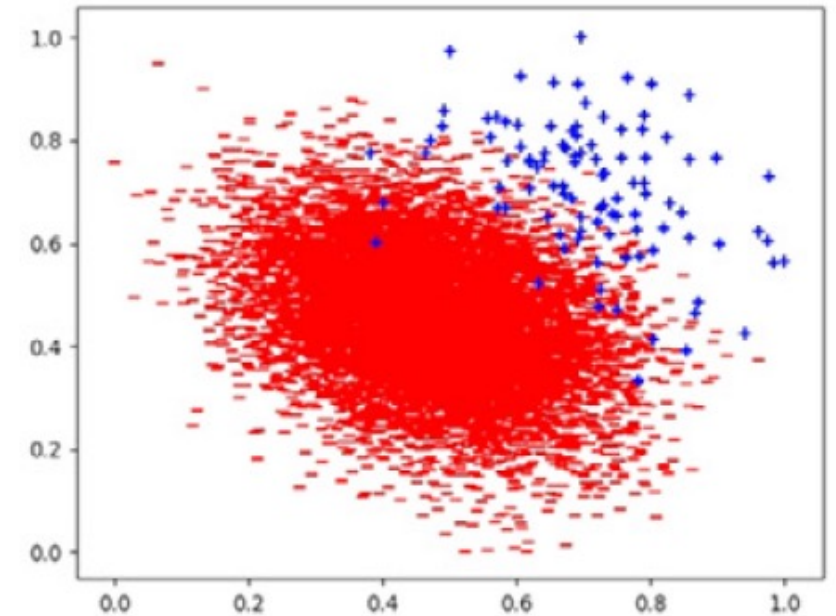
# Binary imbalanced data classification based on diversity oversampling by generative models

*Junhai Zhai, Jiaxing Qi, Chu Shen*

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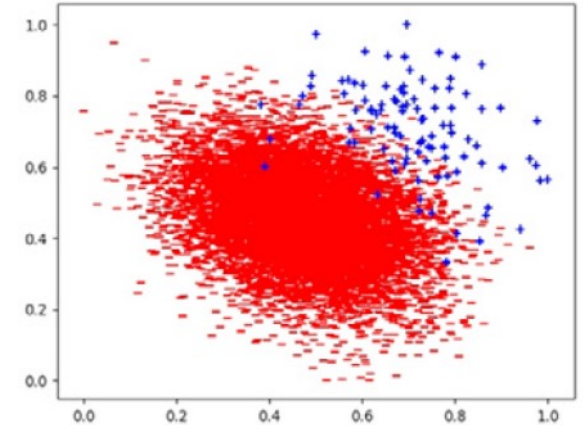
*Date: January 9, 2024*



# Summary

- Addresses the data imbalance problem in binary classification
- Overview of different data balancing tools: SMOTE, RSMOTE, AdaSYN, etc.
- Proposes two new **binary data imbalance classification (BIDC)** algorithms.
  1. **BIDC1** (uses extreme learning machine autoencoder)
  2. **BIDC2** (uses GAN)

I will present BIDC2 first as I understood that one better.



# GAN

A GAN [20] is an implicit probabilistic generation model that consists of two neural networks (Fig. 3), a generator  $G$ , and a discriminator  $D$ . The inputs  $z$  of the generator are samples obtained from a prior distribution  $P_{noise}$ , which is usually a Gaussian distribution.

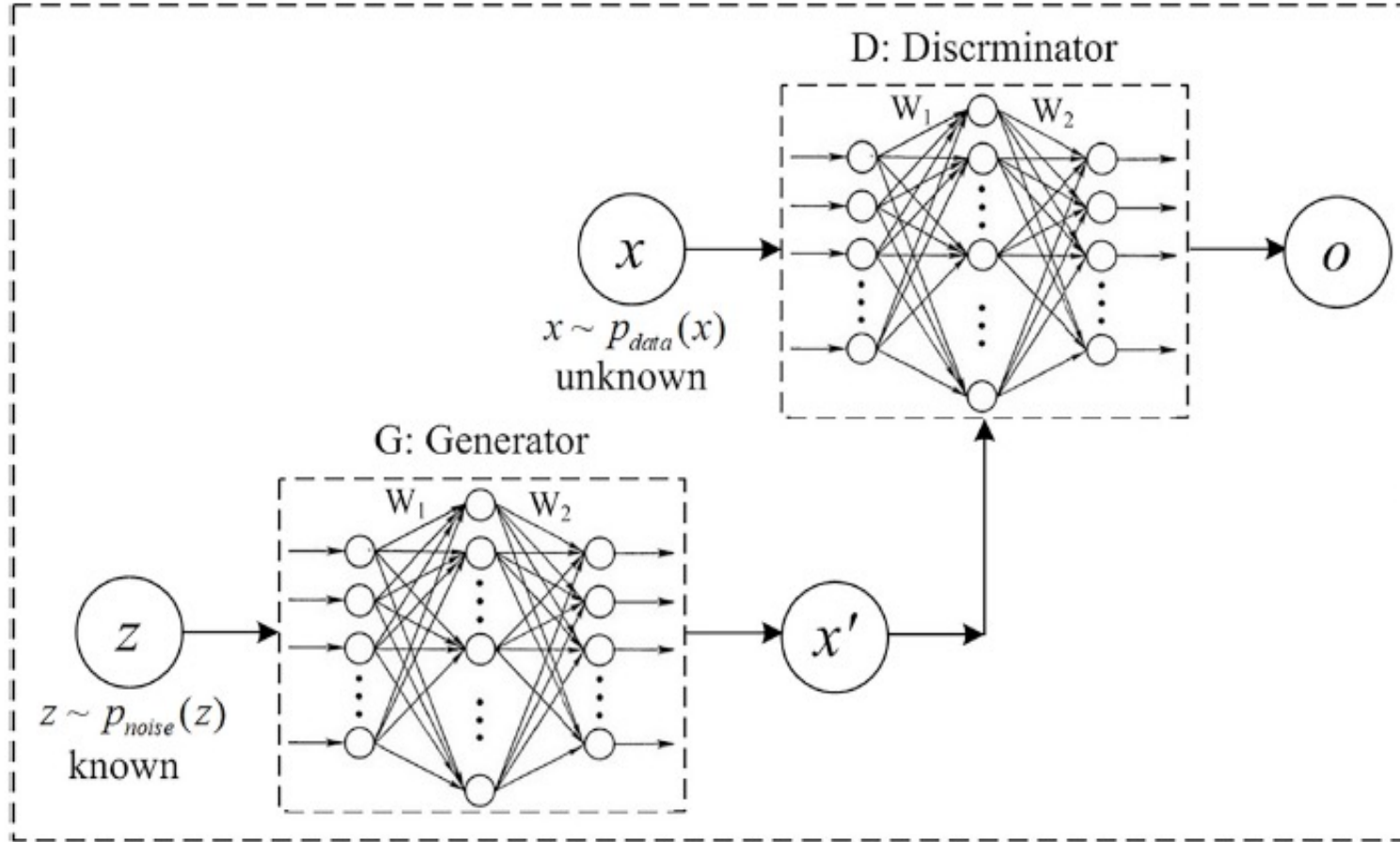


Fig. 3. The architecture of generative adversarial network.

# GAN training

- In every step:
- Train the discriminator  $k$  times
- Train the generator once

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**Algorithm 3: Minibatch stochastic gradient descent training of generative adversarial nets**

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**Input:** The training set  $S_{tr} = \{\mathbf{x}_i, 1 \leq i \leq n\}$ , the known noise prior distribution  $P_{noise}$ , the number of steps to apply to the discriminator  $k$ , and the iterative number  $t$ .

**Output:** The model parameters  $(\theta^{(D)}, \theta^{(G)})$ .

```
1 for ( $i = 1; i \leq t; i = i + 1$ ) do ❏
2   for ( $j = 1; j \leq k; j = j + 1$ ) do
3     Sample minibatch of  $m$  noise samples  $\{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_m\}$  from
       noise prior  $P_{noise}$ ;
4     Sample minibatch of  $m$  samples  $\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m\}$  from the
       training set  $S_{tr}$ ;
5     Update the discriminator by ascending its stochastic gradient:
       
$$\nabla_{\theta^{(D)}} \frac{1}{m} \sum_{i=1}^m [\log D(\mathbf{x}_i) + \log(1 - D(G(\mathbf{z}_i)))]$$

6   end
7   Sample minibatch of  $m$  noise samples  $\{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_m\}$  from noise
       prior  $P_{noise}$ ;
8   Update the generator by descending its stochastic gradient:
       
$$\nabla_{\theta^{(G)}} \frac{1}{m} \sum_{i=1}^m \log(1 - D(G(\mathbf{z}_i)))$$

9 end
10 Return  $(\theta^{(D)}, \theta^{(G)})$ .
```

---

# GAN training

- In every step:
- Train the discriminator k times
- Train the generator once

Negative of loss. So, we want to maximize it. Hence the gradient ascend.

---

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       training set  $S_{tr}$ ;
5     Update the discriminator by ascending its stochastic gradient:
       
$$\nabla_{\theta^{(D)}} \frac{1}{m} \sum_{i=1}^m [\log D(\mathbf{x}_i) + \log(1 - D(G(\mathbf{z}_i)))]$$

       Probability of a real sample detected real.
       Generated sample
       Probability of a generated sample detected real.
6   end
7   Sample minibatch of  $m$  noise samples  $\{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_m\}$  from noise
       prior  $P_{noise}$ ;
8   Update the generator by descending its stochastic gradient:
       
$$\nabla_{\theta^{(G)}} \frac{1}{m} \sum_{i=1}^m \log(1 - D(G(\mathbf{z}_i)))$$

9 end
10 Return  $(\theta^{(D)}, \theta^{(G)})$ .
```

---

# GAN training

- In every step:
- Train the discriminator k times
- Train the generator once

Negative of loss. So, we want to maximize it. Hence the gradient ascend.

## Example 1: Perfect discriminator

$$D(\text{real}) = 1$$

$$D(\text{fake}) = 0$$

$$\text{So, negative loss} = \log 1 + \log(1-0) = 0 + 0 = 0$$

## Another example: Classify all as real

$$D(\text{real}) = 1$$

$$D(\text{fake}) = 1$$

$$\text{So, negative loss} = \log 1 + \log(1-1) = 0 + (-\text{inf}) = -\text{inf} \text{ (i.e. loss} = \text{INF)}$$

### Algorithm 3: Minibatch stochastic gradient descent training of generative adversarial nets

**Input:** The training set  $S_{tr} = \{\mathbf{x}_i, 1 \leq i \leq n\}$ , the known noise prior distribution  $P_{noise}$ , the number of steps to apply to the discriminator  $k$ , and the iterative number  $t$ .

**Output:** The model parameters  $(\theta^{(D)}, \theta^{(G)})$ .

```

1 for (i = 1; i ≤ t; i = i + 1) do
2   for (j = 1; j ≤ k; j = j + 1) do
3     Sample minibatch of m noise samples {z1, z2, ..., zm} from
       noise prior Pnoise;
4     Sample minibatch of m samples {x1, x2, ..., xm} from the
       training set Str;
5     Update the discriminator by ascending its stochastic gradient:
       
$$\nabla_{\theta^{(D)}} \frac{1}{m} \sum_{i=1}^m [\log D(\mathbf{x}_i) + \log(1 - D(G(\mathbf{z}_i)))]$$

6   end
7   Sample minibatch of m noise samples {z1, z2, ..., zm} from noise
       prior Pnoise;
8   Update the generator by descending its stochastic gradient:
       
$$\nabla_{\theta^{(G)}} \frac{1}{m} \sum_{i=1}^m \log(1 - D(G(\mathbf{z}_i)))$$

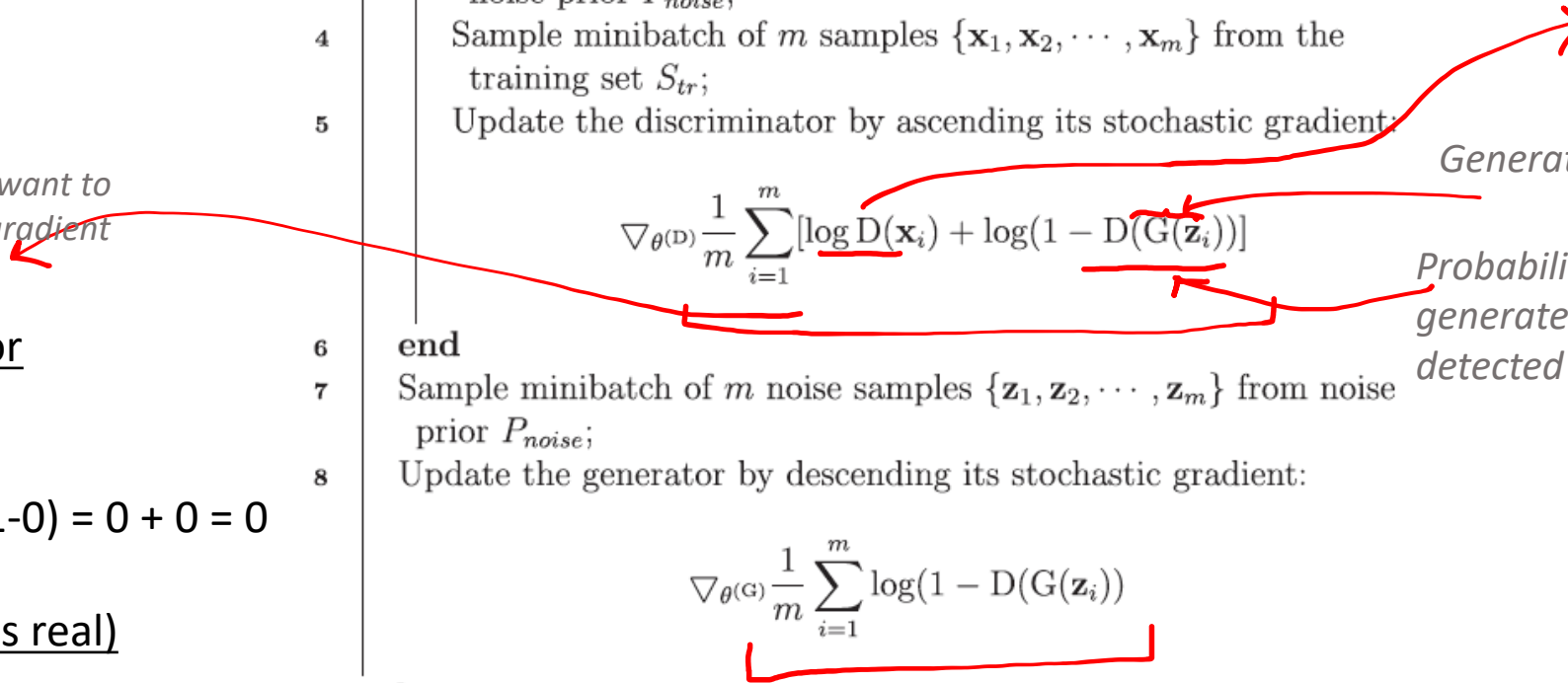
9 end
10 Return (θ(D), θ(G)).
```



Probability of a real sample detected real.

Generated sample

Probability of a generated sample detected real.



# GAN training

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- Train the discriminator k times
- Train the generator once

Negative of loss. So, we want to maximize it. Hence the gradient ascend.

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### Algorithm 3: Minibatch stochastic gradient descent training of generative adversarial nets

**Input:** The training set  $S_{tr} = \{\mathbf{x}_i, 1 \leq i \leq n\}$ , the known noise prior distribution  $P_{noise}$ , the number of steps to apply to the discriminator  $k$ , and the iterative number  $t$ .

**Output:** The model parameters  $(\theta^{(D)}, \theta^{(G)})$ .

```

1 for (i = 1; i ≤ t; i = i + 1) do
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$$\nabla_{\theta^{(D)}} \frac{1}{m} \sum_{i=1}^m [\log D(\mathbf{x}_i) + \log(1 - D(G(\mathbf{z}_i)))]$$

6   end
7   Sample minibatch of m noise samples {z1, z2, ..., zm} from noise
       prior Pnoise;
8   Update the generator by descending its stochastic gradient:
       
$$\nabla_{\theta^{(G)}} \frac{1}{m} \sum_{i=1}^m \log(1 - D(G(\mathbf{z}_i)))$$

9 end
10 Return (θ(D), θ(G)).discriminator. Generated sample detected real is good for the generator.
  
```

Probability of a real sample detected real.

Generated sample

Probability of a generated sample detected real.

This will be the negative of reward for the generator, because it wants to fool the

Reward = 0 if the fake is detected as fake with 100% confidence. And INF if fake is detected as real with 100% confidence.

# BIDC2 algorithm

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**Algorithm 4:** The BIDC2 algorithm

---

**Input:** Imbalanced training set  $S_{tr} = S_{tr}^+ + S_{tr}^-$ , imbalanced testing set  $S_{te} = S_{te}^+ + S_{te}^-$ , the iterative number  $t$ .

**Output:** The classification results of  $\mathbf{x} \in S_{te}$ .

```
1 // Stage 1: training the GAN on  $S_{tr}^+$ ;  
2 Call Algorithm 3 to train GAN model on  $S_{tr}^+$ ;  
3 // Stage 2: generating synthetic positive samples with  
  the trained GAN model;  
4  $S_1^+ = S_{tr}^+$ ;  
5 for ( $i = 1; i \leq t; i = i + 1$ ) do  
6   Sample  $m'$  minibatch noises with size  $m$  from noise prior  $P_{noise}$ ;  
7   Input the  $m'$  minibatch noises into the generator of the trained  
   GAN, and generate synthetic positive samples;  
8   Select informative positive samples from the synthetic ones by  
   Silhouette-score and MMD-score, the set of selected positive  
   samples is denoted by  $S_{gen}^+$ ;  
9    $S_{i+1}^+ = S_i^+ + S_{gen}^+$ ;  
10 end  
11 // Stage 3: training a classifier model on balanced data  
   set and classifying testing samples;  
12  $S_{tr}^+ = S_{t+1}^+$ ;  
13  $S_{tr} = S_{tr}^+ + S_{tr}^-$ ;  
14 Train a classifier on  $S_{tr}$ , and use the trained classifier to classify  
    $\mathbf{x} \in S_{te}$ ;
```

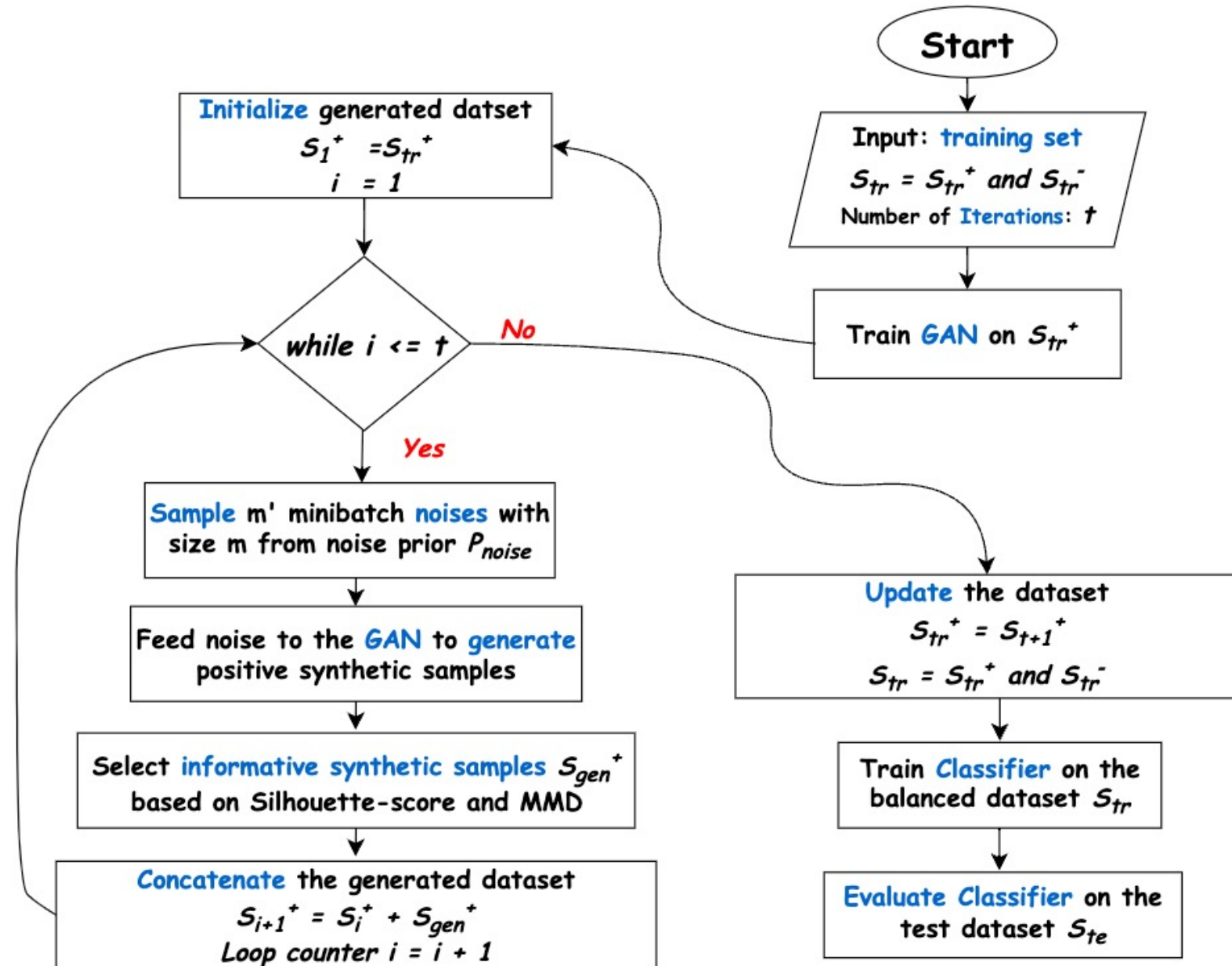
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# BIDC2 algorithm

Three stages:

1. **Training the GAN** on the positive training examples  $S_{tr}^+$
2. **Generating synthetic positive samples** with the trained GAN model
3. **Train and evaluate the classifier**



# Silhouette score

- $a$  = Dissimilarity of a sample within its cluster (we want it to be small)
- $b$  = Dissimilarity of a sample with every other clusters (we want it to be large)

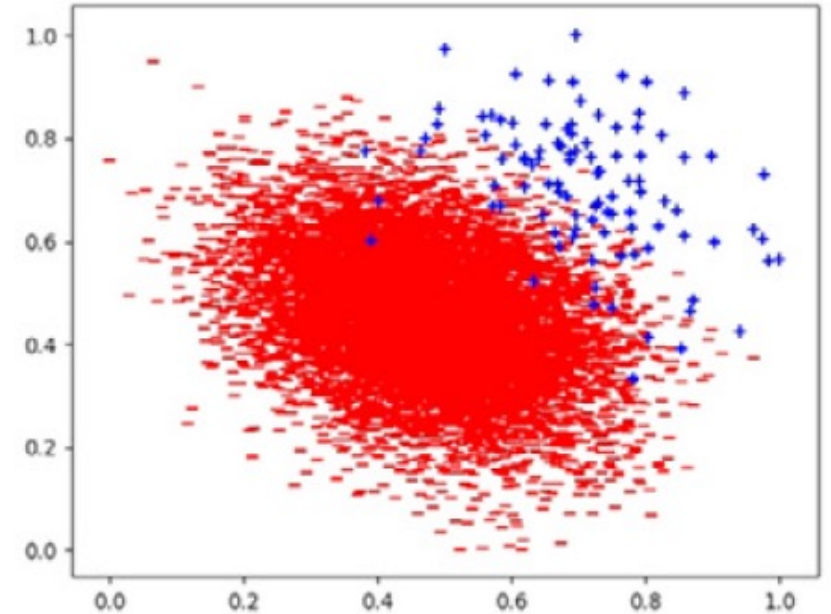
Silhouette score of a cluster is the average of the Silhouette scores of all the samples of that cluster.

The Silhouette-score [8] is an evaluation index of clustering algorithms. Given a sample  $\mathbf{x}$  which belongs to cluster A, the Silhouette-score of  $\mathbf{x}$  is defined as Eq. (9).

$$s(\mathbf{x}) = \frac{b(\mathbf{x}) - a(\mathbf{x})}{\max\{a(\mathbf{x}), b(\mathbf{x})\}}$$

**So, a higher silhouette score is better.** (9)

where  $a(\mathbf{x})$  is the average dissimilarity of sample  $\mathbf{x}$  to all other samples of A,  $b(\mathbf{x}) = \min_{C \neq A} d(\mathbf{x}, C)$ , while  $d(\mathbf{x}, C)$  is the average dissimilarity of sample  $\mathbf{x}$  to all samples of cluster C. With respect to a cluster (or a set) A, the Silhouette-score of A is  $s(A) = \frac{1}{|A|} \sum_{\mathbf{x} \in A} s(\mathbf{x})$ . From Eq. (9), it is easy to find that the value of  $s(\mathbf{x})$  is between  $[-1, 1]$ , and the closer the value of  $s(\mathbf{x})$



# MMD (maximum mean discrepancy)

The MMD is a statistics for measuring the mean squared difference of two sets of samples. Given two sets of samples  $\mathbf{X} = \{\mathbf{x}_i\}$ ,  $1 \leq i \leq n$  and  $\mathbf{Y} = \{\mathbf{y}_i\}$ ,  $1 \leq i \leq m$ , the MMD of  $\mathbf{X}$  and  $\mathbf{Y}$  is defined as Eq. (10).

$$\begin{aligned} \text{MMD} &= \left\| \frac{1}{n} \sum_{i=1}^n \phi(\mathbf{x}_i) - \frac{1}{m} \sum_{j=1}^m \phi(\mathbf{y}_j) \right\|^2 \\ &= \frac{1}{n^2} \sum_{i=1}^n \sum_{i'=1}^n \phi(\mathbf{x}_i)^T \phi(\mathbf{x}_{i'}) - \frac{2}{nm} \sum_{i=1}^n \sum_{j=1}^m \phi(\mathbf{x}_i)^T \phi(\mathbf{y}_j) + \frac{1}{m^2} \sum_{j=1}^m \sum_{j'=1}^m \phi(\mathbf{y}_j)^T \phi(\mathbf{y}_{j'}) \end{aligned} \quad (10)$$

In Eq. (10),  $\phi(\cdot)$  is a kernel mapping, using kernel trick, Eq. (10) can be written as Eq. (11).

$$\text{MMD} = \frac{1}{n^2} \sum_{i=1}^n \sum_{i'=1}^n k(\mathbf{x}_i, \mathbf{x}_{i'}) - \frac{2}{nm} \sum_{i=1}^n \sum_{j=1}^m k(\mathbf{x}_i, \mathbf{y}_j) + \frac{1}{m^2} \sum_{j=1}^m \sum_{j'=1}^m k(\mathbf{y}_j, \mathbf{y}_{j'}) \quad (11)$$

# Extreme Learning Machine Autoencoder (ELMAE)

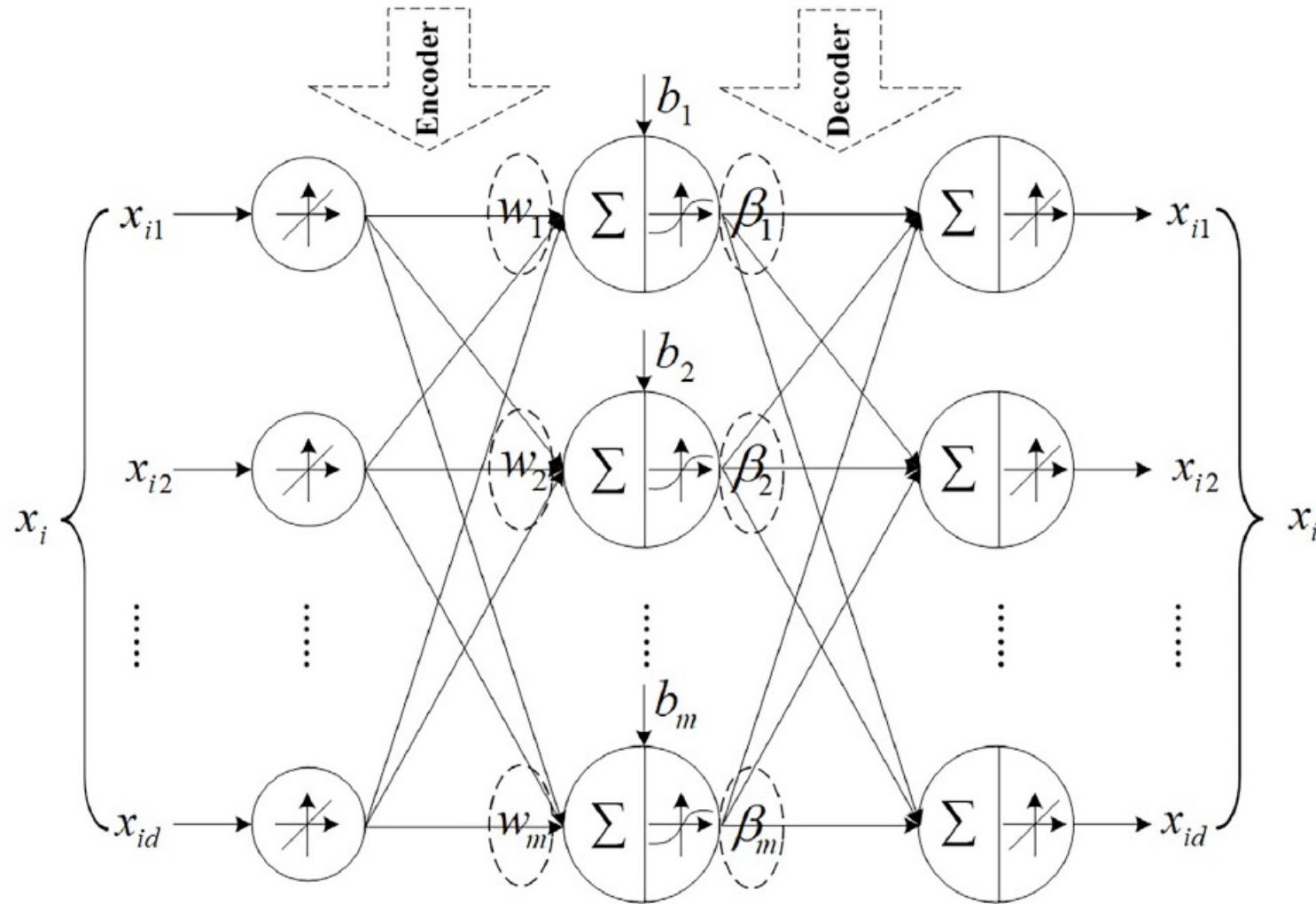


Fig. 2. The extreme learning machine autoencoder.

# Extreme Learning Machine (ELM)

Given a training set  $S = \{(\mathbf{x}_i, \mathbf{y}_i) | \mathbf{x}_i \in R^d, \mathbf{y}_i \in R^k, i = 1, 2, \dots, n\}$ , ELM only needs to solve the following linear Eq. (1). In other words, it only needs to calculate the Moore–Penrose generalized inverse of hidden output matrix  $\mathbf{H}$ .

$$\mathbf{H}\boldsymbol{\beta} = \mathbf{Y} \quad (1)$$

where

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$$\mathbf{H} = \begin{bmatrix} g(\mathbf{w}_1 \cdot \mathbf{x}_1 + b_1) & \cdots & g(\mathbf{w}_m \cdot \mathbf{x}_1 + b_m) \\ \vdots & \cdots & \vdots \\ g(\mathbf{w}_1 \cdot \mathbf{x}_n + b_1) & \cdots & g(\mathbf{w}_m \cdot \mathbf{x}_n + b_m) \end{bmatrix} \quad (2)$$

$$\boldsymbol{\beta} = (\boldsymbol{\beta}_1^T, \dots, \boldsymbol{\beta}_m^T)^T \quad (3)$$

and

$$\mathbf{Y} = (\mathbf{y}_1^T, \dots, \mathbf{y}_n^T)^T \quad (4)$$

# Extreme Learning Machine (ELM)

---


**Algorithm 1:** The ELM Algorithm

---

**Input:** Training data set

$S = \{(\mathbf{x}_i, \mathbf{y}_i) | \mathbf{x}_i \in R^d, \mathbf{y}_i \in R^k, i = 1, 2, \dots, n\}$ , an activation function  $g(\cdot)$ , and the number of hidden nodes  $m$

**Output:** weights matrix  $\beta$ .

- 1 **for** ( $j = 1; j \leq m; j = j + 1$ ) **do**
  - 2 | Randomly assign input weights  $\mathbf{w}_j$  and biases  $b_j$ ;
  - 3 **end**
  - 4 Calculate the hidden layer output matrix  $\mathbf{H}$ ;
  - 5 Calculate output weights matrix  $\hat{\beta} = \mathbf{H}^\dagger \mathbf{Y}$ . 
- 

We can introduce a regularization item into (5), the corresponding optimization problem becomes (7).

$$\min_{\beta} \left\{ \frac{1}{2} \|\beta\|_2^2 + \frac{C}{2} \sum_{i=1}^n \|\xi_i\|_2^2 \right\} \quad (7)$$

s.t.  $\beta^T \mathbf{h}_i = \mathbf{y}_i - \xi_i, 1 \leq i \leq n.$

where  $\xi_i$  is the error vector corresponding to  $\mathbf{x}_i$  and  $C$  is a positive parameter.

The solution of optimization problem (7) is given by

$$\hat{\beta} = \left( \frac{1}{C} \mathbf{I} + \mathbf{H}\mathbf{H}^T \right)^{-1} \mathbf{H}\mathbf{Y}^T \quad (8)$$

where  $\mathbf{I}$  is the identity matrix

# BIDC1 algorithm

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**Algorithm 2:** The BIDC1 algorithm

---

**Input:** Imbalanced training set  $S_{tr} = S_{tr}^+ + S_{tr}^-$ , where the  $S_{tr}^+$  is the set of positive training examples, and  $S_{tr}^-$  is the set of negative training examples; Imbalanced testing set  $S_{te} = S_{te}^+ + S_{te}^-$ , where the  $S_{te}^+$  is the set of positive test examples, and  $S_{te}^-$  is the set of negative test examples; The activation function  $g(\cdot)$ , the number of hidden nodes  $m$ , and the iterative number  $t$ .

**Output:** The classification results of  $\mathbf{x} \in S_{te}$ .

- 1 // Stage 1: training the ELMAE on  $S_{tr}$ ;
- 2 **for** ( $j = 1; j \leq m; j = j + 1$ ) **do**
- 3 | Randomly assign input weights  $\mathbf{w}_j$  and  $b_j$ ;
- 4 **end**
- 5 Calculate the hidden layer output matrix  $\mathbf{H}$ ;
- 6 Calculate output weights matrix  $\hat{\beta} = (\frac{1}{C}\mathbf{I} + \mathbf{H}\mathbf{H}^T)^{-1}\mathbf{H}\mathbf{X}^T$ ;

# BIDC1 algorithm (cont.)

```
7 // Stage 2: generating synthetic positive samples with
  the trained ELMAE model;
8  $S_1^+ = S_{tr}^+$ ;
9 for ( $i = 1; i \leq t; i = i + 1$ ) do
10   Input  $S_i^+$  into ELMAE, and compressed vectors can be obtained
     by the encoder;
11   Take these vectors added Gaussian noise with normal
     distribution as input of decoder, then get the generate synthetic
     positive samples;
12   Select informative positive samples from the synthetic ones by
     Silhouette-score and MMD-score, the set of selected positive
     samples is denoted by  $S_{gen}^+$ ;
13    $S_{i+1}^+ = S_i^+ + S_{gen}^+$ ;
14 end
15 // Stage 3: training a classifier model on balanced data
  set and classifying testing samples;
16  $S_{tr}^+ = S_{t+1}^+$ ;
17  $S_{tr} = S_{tr}^+ + S_{tr}^-$ ;
18 Train a classifier on  $S_{tr}$ , and use the trained classifier to classify
    $\mathbf{x} \in S_{te}$ ;
```

---



# Datasets

1 artificial dataset and 15 public datasets.

**Table 6**

The dimension of noise variable  $z$  and the number of hidden nodes of generator  $G$  and discriminator  $D$ .

Data sets	$d_z$	#Hidden nodes of $G$	#Hidden nodes of $D$
Artificial	100	100	100
Ecoli1	55	70	35
Ecoli2	35	50	20
Glass1	35	90	45
Glass2	25	70	35
Iris1	20	25	15
Iris2	20	25	15
ILPD1	50	50	20
ILPD2	25	35	20
Wine1	130	65	40
Wine2	130	65	40
Segment	150	75	50
Yeast3	100	50	30
Yeast4	100	50	30
Yeast6	100	50	30
Vowel0	120	50	40

# Datasets

1 artificial dataset and 15 public datasets.

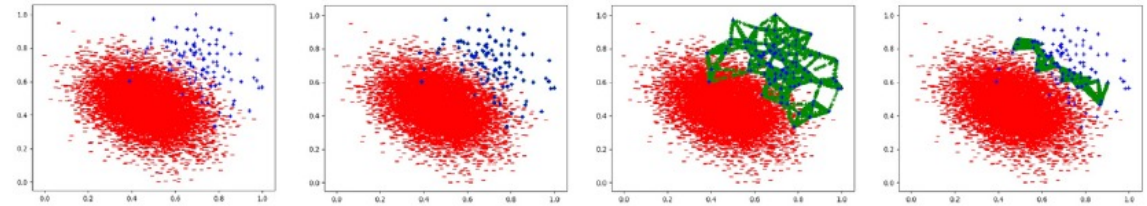
**Table 2**

The basic information of the artificial data set and the 15 public testing data sets.

Data sets	#Sample	#Attribute	#Minority	#Majority	IR
Artificial	10100	2	100	10000	100
Ecoli1	336	7	52	284	5.46
Ecoli2	310	7	26	284	10.92
Glass1	214	9	70	144	2.06
Glass2	179	9	35	144	4.11
Iris1	150	4	50	100	2.00
Iris2	125	4	25	100	4.00
ILPD1	345	6	145	200	1.38
ILPD2	272	6	72	200	2.78
Wine1	178	13	71	107	1.51
Wine2	142	13	35	107	3.06
Segment	2308	18	329	1979	6.02
Yeast3	1484	8	163	1321	8.10
Yeast4	1484	8	51	1430	28.04
Yeast6	1484	8	35	1449	41.40
Vowel0	988	13	90	898	9.98

# Experimental results – visualize the generated data

Comparing BIDD1 and BIDD2 on test dataset against 14 state of the art methods

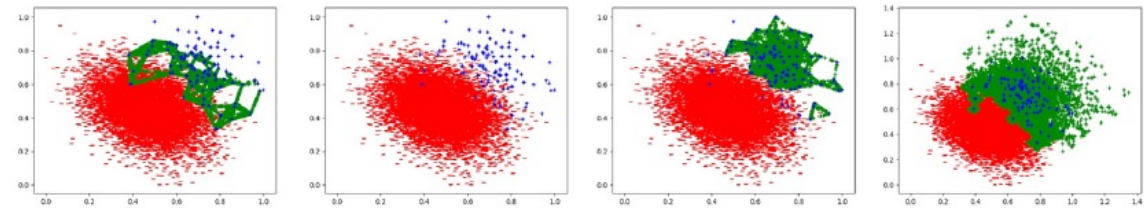


(a) Original Data

(b) ROS

(c) SMOTE

(d) B-SMOTE

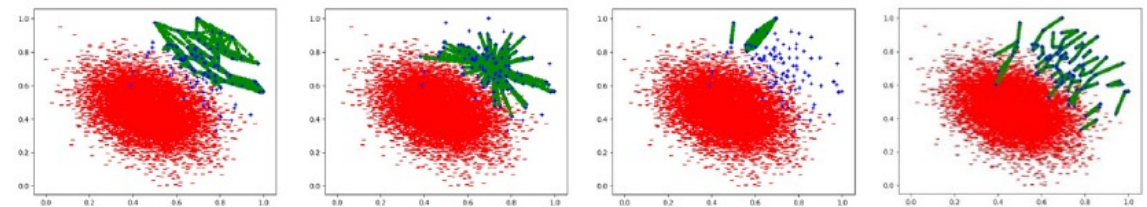


(e) ADASYN

(f) K-SMOTE

(g) ANS

(h) CCR

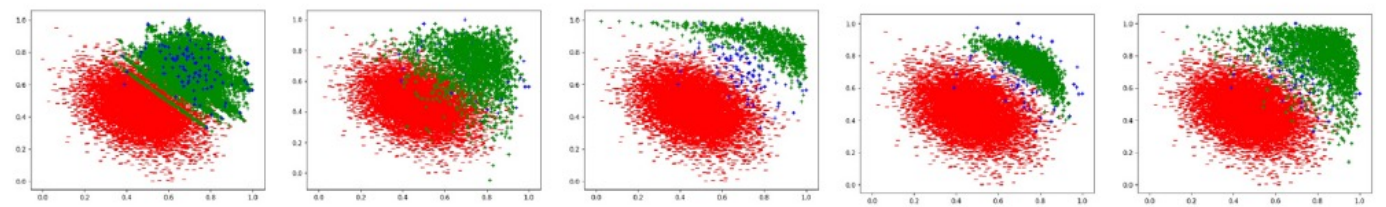


(i) NRPSOS

(j) C-SMOTE

(k) SOMO

(l) G-SMOTE



(m) OUPS

(n) ACGAN

(o) MFC-GAN

(p) BIDD1

(q) BIDD2

# Experimental results

Comparing BIDC1 and BIDC2 on test dataset against 14 state of the art methods

**F measure** is reported here.

Test set is not balanced.

**Table 7**

The experimental results compared with 14 state-of-the-art methods on the 1 artificial data set and 15 public testing data sets on F-measure.

Data sets	ROS	SMOTE	B-SMOTE	ADASYN	K-SMOTE	ANS	CCR	NRPSOS	C-SMOTE	SOMO	G-SMOTE	OUPS	AC-GAN	MFC-GAN	BIDC1	BIDC2
Artificial	0.243	0.433	0.332	0.623	0.144	0.584	0.234	0.561	0.664	0.804	0.581	0.550	0.621	0.683	0.714	0.783
Ecoli1	0.621	0.625	0.674	0.718	0.756	0.797	0.616	0.800	0.796	0.000	0.710	0.788	0.652	0.688	0.812	<b>0.833</b>
Ecoli2	0.476	0.417	0.500	0.556	0.825	0.821	0.700	<b>0.852</b>	0.819	0.000	0.722	0.741	0.774	0.000	0.485	0.572
Glass1	0.437	0.505	0.609	0.547	0.505	0.530	0.552	0.630	0.556	0.129	0.569	0.551	0.610	0.619	0.633	<b>0.658</b>
Glass2	0.430	0.483	0.572	0.538	0.751	0.639	0.455	0.501	0.511	0.000	0.065	0.671	0.734	0.000	<b>0.769</b>	0.690
Iris1	0.643	0.658	0.286	0.712	0.501	0.000	0.492	0.501	0.501	0.505	0.505	0.501	0.752	0.764	0.720	<b>0.774</b>
Iris2	0.458	0.471	0.502	0.536	0.000	0.528	0.581	<b>0.901</b>	0.476	0.000	0.418	0.649	0.663	0.240	0.625	0.548
ILPD1	0.617	0.602	0.532	0.633	0.285	0.000	0.000	0.668	0.393	0.322	0.415	0.285	0.359	0.586	0.635	<b>0.705</b>
ILPD2	0.524	0.509	0.488	0.554	0.669	0.669	0.132	<b>0.672</b>	0.105	0.000	0.299	0.669	0.075	0.099	0.600	0.644
Wine1	0.880	0.846	0.905	0.899	0.764	0.766	0.726	0.771	0.761	0.764	0.764	0.766	0.923	0.933	0.923	<b>0.938</b>
Wine2	0.872	0.938	0.991	0.984	0.442	0.891	0.671	0.891	0.119	0.891	0.427	0.365	0.921	0.891	<b>0.997</b>	0.993
Segment	0.982	0.991	0.993	0.993	0.741	0.722	0.714	0.716	0.725	0.825	0.767	0.724	0.743	0.523	0.995	<b>0.998</b>
Yeast3	0.665	0.669	0.732	0.708	0.767	0.739	0.728	0.780	0.743	0.000	0.717	0.744	0.571	0.764	0.717	<b>0.784</b>
Yeast4	0.170	0.467	0.504	0.500	0.000	<b>0.942</b>	0.000	0.000	0.000	0.000	0.000	0.739	0.031	0.031	0.514	0.530
Yeast6	0.133	0.510	0.458	0.469	0.000	0.052	0.283	0.113	0.000	0.000	0.454	0.000	0.029	0.000	0.534	<b>0.551</b>
Vowel0	0.878	0.809	0.920	0.923	0.918	0.000	0.837	0.879	0.893	0.000	0.845	0.867	0.540	0.733	0.939	<b>0.955</b>

# Experimental results

**Geometric mean** of precision and recall is reported here. (The test set is not balanced)

**Table 14**  
The experimental results compared with 14 state-of-the-art methods on the 10 application-oriented data sets on G-mean.

Data sets	ROS	SMOTE	B-SMOTE	ADASYN	K-SMOTE	ANS	CCR	NRPSOS	C-SMOTE	SOMO	G-SMOTE	OUPS	AC-GAN	MFC-GAN	BIDC1	BIDC2
CM1	0.667	0.482	0.688	0.657	0.129	0.098	0.000	<b>0.958</b>	0.000	0.000	0.072	0.000	0.749	0.154	0.690	0.724
JM1	0.814	0.808	0.793	0.802	0.769	0.008	0.000	0.065	0.008	0.715	0.011	0.000	0.779	0.558	0.821	<b>0.852</b>
MC1	0.541	0.563	0.533	0.527	0.000	0.339	0.000	0.000	0.248	0.000	0.123	0.000	0.000	0.164	<b>0.625</b>	0.567
MC2	0.000	0.145	0.126	0.330	<b>0.642</b>	0.071	0.000	0.452	0.071	0.434	0.207	0.157	0.651	0.645	0.333	0.417
PC1	0.618	0.646	0.661	0.640	0.094	0.034	0.000	0.458	0.000	<b>0.959</b>	0.038	0.000	0.197	0.197	0.692	0.686
KC2	0.493	0.579	0.511	0.556	<b>0.805</b>	0.044	0.000	0.097	0.073	0.596	0.053	0.022	0.479	0.565	0.600	0.652
KC3	0.508	0.546	0.539	0.558	<b>0.832</b>	0.034	0.036	0.406	0.130	0.000	0.086	0.049	0.000	0.000	0.588	0.737
Liver1	0.000	0.612	0.581	0.736	0.000	0.504	0.512	0.475	0.687	0.000	0.568	0.629	0.000	0.265	0.884	<b>0.893</b>
Liver2	0.000	0.597	0.624	0.713	0.000	0.509	0.539	0.513	0.746	0.000	0.588	0.543	0.070	0.100	0.853	<b>0.906</b>
Liver3	0.000	0.643	0.708	0.758	0.000	0.529	0.528	0.508	0.766	0.000	0.566	0.542	0.077	0.000	0.897	<b>0.924</b>

# Experimental results

**AUC** is reported here. (The test set is not balanced)

**Table 15**  
The experimental results compared with 14 state-of-the-art methods on the 10 application-oriented data sets on AUC-area.

Data sets	ROS	SMOTE	B-SMOTE	ADASYN	K-SMOTE	ANS	CCR	NRPSOS	C-SMOTE	SOMO	G-SMOTE	OUPS	AC-GAN	MFC-GAN	BIDC1	BIDC2
CM1	0.682	0.691	0.590	0.715	0.535	0.496	0.498	<b>0.961</b>	0.498	0.500	0.505	0.500	0.855	0.508	0.747	0.772
JM1	0.814	0.808	0.823	0.837	0.864	0.500	0.500	0.503	0.500	0.772	0.500	0.500	0.874	0.584	0.850	<b>0.893</b>
MC1	0.578	0.609	0.641	0.618	0.500	0.562	0.500	0.500	0.546	0.500	0.510	0.500	0.500	0.511	0.702	<b>0.717</b>
MC2	0.500	0.510	0.507	0.524	<b>0.756</b>	0.513	0.500	0.605	0.519	0.593	0.538	0.518	0.683	0.647	0.556	0.604
PC1	0.622	0.653	0.680	0.695	<b>0.964</b>	0.524	0.500	0.593	0.500	0.500	0.506	0.500	0.518	0.518	0.686	0.735
KC2	0.611	0.661	0.623	0.592	<b>0.747</b>	0.505	0.500	0.505	0.513	0.671	0.502	0.501	0.608	0.643	0.677	0.710
KC3	0.598	0.582	0.621	0.609	0.547	0.494	0.500	0.573	0.534	0.500	0.500	0.503	0.500	0.500	0.634	<b>0.743</b>
Liver1	0.500	0.772	0.846	0.865	0.500	0.626	0.620	0.613	0.732	0.500	0.598	0.692	0.500	0.480	0.961	<b>0.969</b>
Liver2	0.500	0.714	0.785	0.851	0.500	0.630	0.640	0.631	0.697	0.500	0.605	0.649	0.495	0.460	0.926	<b>0.948</b>
Liver3	0.500	0.803	0.869	0.864	0.500	0.635	0.632	0.627	0.637	0.500	0.593	0.646	0.498	0.498	0.885	<b>0.914</b>

# Appendix – dissimilarity function:



To measure the dissimilarity within a cluster you need to come up with some kind of a metric. For categorical data, one of the possible ways of calculating dissimilarity could be the following:

1



$$d(i, j) = (p - m) / p$$



where:



- $p$  is the number of classes/categories in your data
- $m$  is the number of matches you have between samples  $i$  and  $j$

For example, if your data has 3 categorical features and the samples,  $i$  and  $j$  are as follows:

	Feature1	Feature2	Feature3
$i$	x	y	z
$j$	x	w	z

So here, we have 3 categorical features, so  $p=3$  and out of these three, two features have same values for the samples  $i$  and  $j$ , so  $m=2$ . Therefore

$$\begin{aligned}d(i, j) &= (3 - 2) / 3 \\d(i, j) &= 0.33\end{aligned}$$



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